Analysis of temperature-dependent mode transition in nanosized liquid crystal layer-coated long period gratings

Haimei Luo,1,2 Xinwan Li,1,* Shuguang Li,1 and Jianping Chen1
1State Key Laboratory of Advanced Optical Communication Systems and Networks, Shanghai Jiao Tong University, Shanghai 200240, China
2College of Physics and Communication Electronics, Jiangxi Normal University, Nanchang 330022, China
*Corresponding author: lixinwan@sjtu.edu.cn
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The cladding mode reorganization in high refractive-index (HRI)-coated long period gratings (LPGs) is theoretically analyzed and experimentally observed with the aim of exploring the sensitivity of the resonance wavelength to the change of the refractive index in a nanoscale overlay. Experimental results show that the transition between cladding modes and overlay modes occurs when the refractive index of the liquid crystal (LC) overlay is changed from 1.477 to 1.515 by increasing its temperature from 20 °C to 65 °C. The spectral tuning ability of LPGs coated with a HRI LC layer by electro-optic modulation on a LC layer is also demonstrated, and the maximum tuning range can reach approximately 10 nm by choosing a highly sensitive operating point in the transition region. © 2009 Optical Society of America

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1. Introduction
In past years, in-fiber long period gratings (LPGs) have been widely investigated in the field of optical sensing and communications [1]. They have the ability to couple energy from the core mode to different cladding modes with the same propagation direction. The central attenuation wavelength of the LPGs is highly sensitive to the ambient refractive-index change [2,3] and, therefore, can be tuned by modulating the ambient refractive index by methods such as the thermo-optic effect [4], the electro-optic effect [5], or a combination of both methods.

Until now, most of the studies have concentrated on the analysis of the sensitivity to the surrounding medium refractive index (SRI) of the bare LPG [6,7]. The idea of coated LPGs with a thin high refractive-index (HRI) layer was first put forward by James et al. [8]. Then a detailed study was presented by Wang et al. [9] to investigate the sensitivity of LPGs when they are coated with nanometer thick film of a refractive index higher than that of silica. The results showed that resonant wavelength shifts that are due to similar film coating thickness and refractive-index values can be vastly different, depending on the coupled cladding modes. In the same year, a comprehensive theoretical and experimental investigation of the cladding modes reorganization in HRI layer-coated LPGs was reported by Cusano et al. [10]. Their analysis showed that by increasing the SRI, the transition from cladding to overlay modes occurred for a fixed overlay thickness and refractive index. As a matter of fact, changing the HRI could also lead to mode transition. The theoretical and experimental analysis presented by Del Villar et al. indicated that the highest sensitivity of the resonance wavelengths to the refractive-index change of the overlay can be optimized by selecting an overlay
refractive index that is close to that of the cladding of the LPG and reasonably close to the overlay thickness [11,12].

The effective refractive index of nematic LCs can be controlled by either the thermal or the electrical method [13]. LC is one of the attractive candidates as the coating material for potential applications in fiber optic sensing and in communications [14]. Therefore, adopting LC as the overlay material, we can use the phenomena of mode transition by controlling its temperature or use the external voltage to increase the sensitivity of the resonance wavelength of LPGs.

We designed an experiment to observe the cladding modes reorganization by changing the refractive index of the HRI layer and put forward a scheme for active electrical control and thermal stabilization tunable fiber gratings. Results of numerical simulations based on the coupled-mode theory are presented in Section 2. Experiments on the refractive index of LC with temperature, the thermal spectral tuning of LC-coated LPGs, and electrical tunability are presented in Section 3. Finally, conclusions are drawn in Section 4.

2. Theoretical Analysis

The coupling between the core mode and the co-propagation cladding modes in a LPG acts as the spectral loss selection. The center wavelength $\lambda_i$ of the $i$th attenuation band is given by the following phase-matching condition between the core and the cladding modes [15]:

$$\lambda_i = (n_{co} - n_{cl}^i)\Lambda,$$

(1)

where $n_{co}$ and $n_{cl}^i$ are the effective refractive indices of the core mode and the $i$th cladding mode, respectively. $\Lambda$ is the grating period. The minimum transmission of the attenuation bands is governed by the expression [16]

$$T = \cos^2(k_iL),$$

(2)

where $L$ is the length of the LPG and $k_i$ is the coupling coefficient of the $i$th cladding mode, which is determined by the overlap integral of the core and cladding modes. The refractive-index sensitivity of the LPG arises from the dependence of the effective refractive indices of the cladding modes on the ambient refractive index. As the ambient index approaches the index of the LPG cladding, the sensitivity of the LPG increases sharply [6,17].

The structure of the coated LPG has four layers and the surrounding media is air as shown in Fig. 1. The analysis used the standard Corning SMF-28 optical fiber parameters: 0.14 numerical aperture, 0.36% refractive index difference [10], 125 and 8.2 $\mu$m cladding and core diameters, respectively. The HRI LC overlay has a refractive index of 1.47–1.55, which is close to the experimental testing refractive index [18,19], and with different thicknesses ranging between 600 and 900 nm. The effective indices of the cladding modes were retrieved as a function of the HRI.

Figure 2(a) shows the effective index for each of the first six cladding modes as functions of the HRI for a 600 nm HRI overlay. As one can observe, the effective refractive index increases as the HRI increases until a critical point is reached. At this point, a significant shift in the effective index of cladding modes occurs. For a fixed overlay thickness, there is a value of the HRI that leads to the transition of the lowest-order cladding mode (higher effective index) to be guided within the overlay instead of cladding area only. As the lowest-order cladding mode moves to be guided within the overlay, a reorganization of the other cladding modes occurs.

Figures 2(b)–2(d) show the effective refractive index for a HRI overlay of 700, 800, and 900 nm, respectively. It can be seen that the transition point moves to a lower HRI as the overlay thickness increases.
3. Experimental Observation and Results

A. Temperature-Dependent Mode Transition in HRI-Coated LPGs

In this experiment, the LPG was fabricated using CO$_2$ laser irradiation on Corning SMF-28 fibers, with a grating period of 620 $\mu$m and a grating region of 50 mm. Attention bands were investigated in the range of 1400–1700 nm by use of a white light source in the 400–1800 nm wavelength range and an optical spectrum analyzer to record the spectral response of the device. The analysis was focused on the LP$_{02}$ and LP$_{03}$ cladding modes. With regard to the LP$_{02}$ mode, we first measured the transmission spectrum of the bare LPG at temperatures ranging from 20 °C to 65 °C and determined that there is a slight wavelength redshift of approximately 1 nm.

Nanosized LC cladding material (MDA-98-3699, Merck Chemicals, Darmstadt, Germany) has a refractive index larger than that of silica. The coating process is as follows: We dipped a tampon in the LC and daubed it evenly on the fiber grating. The surface tension of the slimy LC makes it easy to coat uniformly. The thickness of the LC layer is controlled by the number of times it is dipped. Figure 3 shows CCD photographs of the bare and LC-coated LPG taken by an Olympus STM6 measuring microscope (Olympus Corporation, Tokyo, Japan). Figure 4 shows CCD photographs of approximate overlay thicknesses of (a) 400 nm and (b) 800 nm. A heater box was used to change the temperature of the LC from 20 °C to 65 °C.

Figure 5 shows the transmission spectrum of the ultrathin LC-coated LPG for different temperatures between 20 °C and 65 °C. In Fig. 5, the LP$_{03}$ mode has
been marked with \( i, j \) (\( i = 2, 3, j = I, II, III, IV \)) for the different temperatures. As can be seen, a slight wavelength blueshift is achieved because of the increase in temperature from 20°C to 58°C. When the temperature reaches approximately 59°C, a large wavelength shift in the attenuation band of the LP\(_{03}\) mode is observed. In particular, it is worth noting that the phenomenon of mode transition appears when the temperature is changed by only 2°C, from 58°C to 60°C.

A clearer attenuation band shift is shown in Fig. 6. Outside the transition region, the center wavelength is shifted from 1579.7 to 1575.2 nm in the attenuation band of the LP\(_{02}\) mode and from 1687.5 to 1665.7 nm in the LP\(_{03}\) mode. Within the transition region, only the LP\(_{03}\) mode can be observed in the range of our investigation with its center wavelength shifting from 1665.7 to 1583.7 nm, which changes by the amount of 82 nm. We measured the temperature-dependent refractive index of the LC layer to clarify our experimental observations.

![Fig. 5. Transmission spectra of an ultrathin HRI LC-coated LPG for different temperatures in the 20°C–65°C range.](image)

![Fig. 6. Wavelength shift of LP\(_{02}\) and LP\(_{03}\) cladding modes for the LPG coated with a HRI layer versus temperature.](image)

B. Measurement of the Refractive Index of Liquid Crystal at Different Temperatures

The methods for measuring the refractive index of liquids can be classified into refractive techniques and reflective techniques including total reflection [20]. Figure 7 is a schematic diagram of the experimental setup, showcasing the efficient method developed by Pu et al. [21]. The detecting tip is immersed into air, water, and the LC under magnetic fields of different intensities, and the power of the reflected light is measured under each condition. Using the experimental data, the thermo-dependent refractive indices of the LC in the infrared can be calculated as shown in Fig. 8.

With the refractive-index data from our measurements, we calculated the center wavelength shifts theoretically [22], as shown in Fig. 9. It can be seen that the experimental results are in good agreement with the theoretical results. Figure 9(b) shows that the sensitivity enhancement to the HRI in the transition region was approximately six times the sensitivity outside the transition region for the LP\(_{03}\) mode. The center wavelength shifts over a small LC layer refractive-index range within the transition region, which means that one can choose this point as the highly sensitive operating point for sensor applications.

C. Electrical Spectral Tuning of LC-Coated Long-Period Gratings at the Highly Sensitive Operating Point

A simple scheme for active electrically controlled tunable fiber gratings is illustrated schematically
in Fig. 10. The LC-coated LPG is aligned between two substrates parallel to each other. The gap is maintained using 125 μm thick spacers. The external electric fields are applied using two parallel electrodes (200, 300, and 400 V were used in our experiment). The temperature is stabilized at 20 °C, 55 °C, 58 °C, 59 °C, 60 °C and 65 °C, respectively. Attention bands have been investigated in the range of 1500–1600 nm. Figure 11 shows the electrical spectra tunability of the LC-cladding LPG at different temperatures. Δλ_{res} is the central wavelength shift. From Fig. 11 we can see that 60 °C is the most sensitive operating point for the LC used. The maximum tuning range is approximately 10 nm.

### 4. Conclusions

The effect of the refractive-index change in the nanosized overlay on the resonant spectral tuning of the LPG has been theoretically and experimentally analyzed. Shifts of greater than 80 nm in the attenuation bands of the transmission spectrum can be obtained by changing the refractive index of the LC overlay through the thermo-optic effect. The sensitivity enhancement to the HRI in the transition region is approximately six times the sensitivity outside the transition region. A preliminary experimental demonstration shows that the electrical spectral tuning ability can be improved significantly by choosing an appropriate operating temperature.

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### References


Fig. 9. Theoretical and experimental center wavelength dependence on HRI for (a) LP_{02} and (b) LP_{03}.

Fig. 10. Experimental setup and schematic of the LC cladding LPG.

Fig. 11. Electrical spectra tunability of the LC cladding LPG at different temperatures.